

Pratte Balanced Strategy

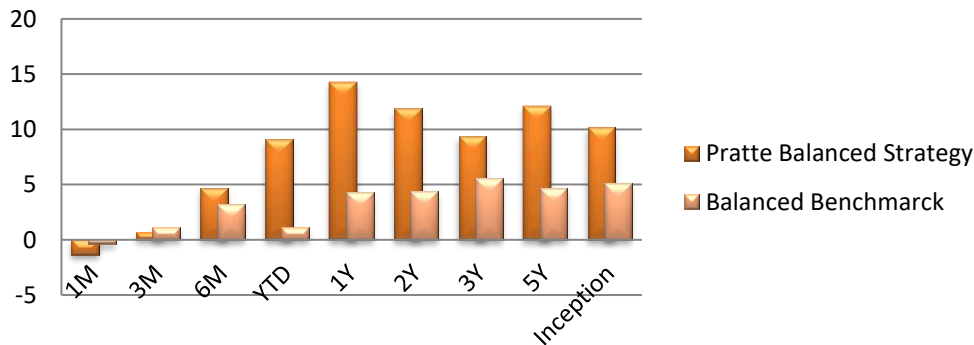
As of September 28th, 2018

Currency: CAD

The objective of this mandate is to deliver consistent capital appreciation by investing in liquid North American security. The return objective is to exceed inflation by an annualized 4-8 %. We use a bottom-up approach for individual security mix with a top-down approach for asset allocation and position sizing. We use quantitative analysis to generate trading ideas, the theme and the criteria are chosen and adjusted according to the market cycle. Technical analysis is used to determine price action strength, probability. Risk management is controlled through adjusting position sizing, market expose and tactical allocation to the different asset class.

Period	1 Month	3 Months	6 Months	YTD	1 Year	2 Years	3 Years	5 Years	Inception
Rate of return (Ann. If over 1 year)									
Balanced Benchmark	-1.4 %	0.7 %	4.7 %	9.1 %	14.3 %	11.9 %	9.4 %	12.1 %	10.2 %
	-0.4 %	1.1 %	3.2 %	1.1 %	4.3 %	4.4 %	5.6 %	4.7 %	5.1 %

Rate of Return Bar Chart

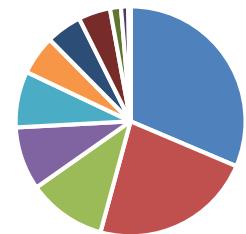


Top 10 Sector	Port
Funds/ETF	31.38
Information Technology	22.83
Financials	11.03
Materials	8.90
Cash	7.89
Consumer Discretionary	5.54
Industrials	5.03
Communication Services	4.50
Health Care	1.54
Utilities	1.01

Top 10 Holdings	Value
Cash	7.89
Invesco QQQ Trust Series 1	7.62
Ishares 1-10 Year Laddered C	7.47
BMO Mid Corporate Bonds Index	4.47
Bank Of America CORP	4.42
Adobe Systems Inc.	4.27
Horizons Active Corporate Bond	4.13
Mastercard Inc.	4.10
Invesco Tactical Bond ETF	4.07
Shopify Inc	3.39

PORTFOLIO ALLOCATION

- Funds/ETF
- Information Technology
- Financials
- Materials
- Cash
- Consumer Discretionary
- Industrials
- Communication Services
- Health Care
- Utilities
- Bonds
- Energy
- Consumer Staples
- Real Estate



Returns are calculated after management fees and operating and transaction charges have been deducted (net of all fees; management, custody and commissions) and presented in Canadian dollars. Returns have been calculated using the money-weighted rate of return method. The IRR or money-weighted rate of return is a performance calculation which takes into account both the timing of deposits & withdrawals, as well as any costs charged to the specific account. In comparison, benchmark returns do not take into account management fees or operating expenses. The data, calculation method and the composite components generated at Canaccord Genuity Corp. by Messrs. Raymond and Philippe Pratte were transferred to Pratte Portfolio Management Inc. when they moved into the latter firm in November 2015. Returns therefore include the past performance of accounts that were held by Canaccord Genuity Corp and managed by MM Raymond and Philippe Pratte. Past performance is not indicative of future results.

The benchmarks are based on the performance of the following composite benchmark:

Balance benchmark: 25% SP500, 25% SPTSX, 5% MSCI EAFE, 40% FTSE TMX Universe, 5% Cash.